

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L24100MH1984PLC033519

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACC3419N

(ii) (a) Name of the company

GUFIC BIOSCIENCES LIMITED

(b) Registered office address

Shop - 37, First Floor, Kamala Bhavan II,  
S Nityanand Road, Andheri East,  
Mumbai  
Maharashtra  
400069

(c) \*e-mail ID of the company

mgr\_legal@guficbio.com

(d) \*Telephone number with STD code

02267261000

(e) Website

www.gufic.com

(iii) Date of Incorporation

23/07/1984

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1
2	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

**(i) \*SHARE CAPITAL****(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	105,200,000	96,944,506	96,944,506	96,944,506
Total amount of equity shares (in Rupees)	105,200,000	96,944,506	96,944,506	96,944,506

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	105,200,000	96,944,506	96,944,506	96,944,506
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	105,200,000	96,944,506	96,944,506	96,944,506

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	752,266,610	0	0	0
Total amount of preference shares (in rupees)	752,266,610	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>9.5% NON-CUMLATIVE NON CONVERTIBLE RED</b>				
Number of preference shares	752,266,610	0	0	0
Nominal value per share (in rupees)	1	1	1	1
Total amount of preference shares (in rupees)	752,266,610	0	0	0

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	33,390

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	114,996	96,829,510	96944506	96,944,506	96,944,506	

<b>Increase during the year</b>	0	18,626	18626	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify		18,626	18626			
Physical shares are dematerialized during the fina						
<b>Decrease during the year</b>	18,626	0	18626	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	18,626		18626			
Physical shares are dematerialized during the Final						
<b>At the end of the year</b>	96,370	96,848,136	96944506	96,944,506	96,944,506	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE742B01025

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	20/09/2021
Date of registration of transfer (Date Month Year)	
Type of transfer	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	Amount per Share/ Debenture/Unit (in Rs.)

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

**(iv) \* Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	0	0	0	0
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

7,791,555,969.25

**(ii) Net worth of the Company**

1,995,963,208.24

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	42,461,298	43.8	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	30,714,853	31.68	0	
10.	Others	0	0	0	
	<b>Total</b>	73,176,151	75.48	0	0

**Total number of shareholders (promoters)**

5

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	18,802,647	19.4	0	
	(ii) Non-resident Indian (NRI)	499,494	0.52	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	1,462,082	1.51	0	
7.	Mutual funds	1,000,000	1.03	0	



8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,643,474	1.7	0	
10.	Others          Alternate Investment Fund,	360,658	0.37	0	
	<b>Total</b>	23,768,355	24.53	0	0

**Total number of shareholders (other than promoters)**

38,139

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

38,144

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
AMERICAN CENTURY F	HSBC SECURITIES SERVICES 11TH F			5,829	0.01
GRANDEUR PEAK GLO	HSBC SECURITIES SERVICES 11TH F			8,300	0.01
GRANDEUR PEAK GLO	HSBC SECURITIES SERVICES 11TH F			249,687	0.26
GRANDEUR PEAK EME	HSBC SECURITIES SERVICES 11TH F			926,488	0.95
GRANDEUR PEAK GLO	HSBC SECURITIES SERVICES 11TH F			212,187	0.22
AMERICAN CENTURY F	DEUTSCHE BANK AG, DB HOUSE, F			21,615	0.02
AMERICAN CENTURY F	DEUTSCHE BANK AG, DB HOUSE, F			3,500	0
AMERICAN CENTURY F	DEUTSCHE BANK AG, DB HOUSE H			77	0
QUADRATURE CAPITA	JPMORGAN CHASE BANK N.A., IND			34,399	0.04

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	5	5
Members (other than promoters)	19,634	38,139
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	2	0	2	0	33.45	0
<b>B. Non-Promoter</b>	2	6	2	6	0.07	0
(i) Non-Independent	2	1	2	1	0.07	0
(ii) Independent	0	5	0	5	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>4</b>	<b>6</b>	<b>4</b>	<b>6</b>	<b>33.52</b>	<b>0</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

13

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
MR. JAYESH P. CHOKS	00001729	Managing Director	25,158,829	
MR. PRANAV J. CHOKS	ACXPC9050F	CEO	7,268,626	
MR. PRANAV J. CHOKS	00001731	Whole-time director	7,268,626	
MR. PANKAJ J. GANDH	00001858	Whole-time director	0	
MR. GOPAL M. DAPTA	07660662	Director	0	
MR. SHREYAS K. PATI	01638788	Director	2,600	
MR. SHRIRANG V. VAI	03618800	Director	0	
DR. BALRAM H. SINGH	06918085	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
DR. RABI N. SAHOO	01237464	Director	0	
DR. ANU S. AURORA	05120192	Director	0	
MR. DILIP GHOSH	00412406	Whole-time director	72,000	
MR. DEVKI NANDAN B	AAPPR0396D	CFO	0	
MS. AMI SHAH	CVIPS3032F	Company Secretary	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
DR. BALRAM H. SINGH	06918085	Director	29/05/2021	RE-APPOINTMENT
MR. DILIP GHOSH	00412406	Whole-time director	20/09/2021	CHANGE IN DESIGNATION
DR. ANU S. AURORA	05120192	Director	23/12/2021	RE-APPOINTMENT
MR. PANKAJ J. GANDHI	00001858	Whole-time director	07/09/2021	RE-APPOINTMENT

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	20/09/2021	37,148	87	39.91

**B. BOARD MEETINGS**

\*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	04/06/2021	10	8	80
2	11/08/2021	10	8	80

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
3	04/10/2021	10	9	90
4	03/11/2021	10	10	100
5	10/12/2021	10	9	90
6	09/02/2022	10	10	100
7	21/03/2022	10	9	90

### C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	04/06/2021	6	6	100
2	Audit Committee	11/08/2021	6	6	100
3	Audit Committee	04/10/2021	6	6	100
4	Audit Committee	03/11/2021	6	6	100
5	Audit Committee	09/02/2022	6	6	100
6	Audit Committee	21/03/2022	6	6	100
7	Corporate Soc	04/06/2021	4	4	100
8	Corporate Soc	11/08/2021	4	4	100
9	Corporate Soc	03/11/2021	4	4	100
10	Corporate Soc	21/03/2022	4	4	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 02/09/2022 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	MR. JAYESH	7	7	100	13	13	100	Yes

2	MR. PRANAV	7	7	100	13	13	100	Yes
3	MR. PANKAJ	7	7	100	0	0	0	Yes
4	MR. GOPAL M	7	7	100	13	13	100	Yes
5	MR. SHREYA	7	7	100	11	11	100	Yes
6	MR. SHRIRAM	7	7	100	9	9	100	Yes
7	DR. BALRAM	7	2	28.57	0	0	0	Yes
8	DR. RABI N. S	7	5	71.43	1	1	100	Yes
9	DR. ANU S. A	7	7	100	6	6	100	Yes
10	MR. DILIP GH	7	7	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MR. JAYESH P. CH	Chairman & Mar	4,001,700	0	0	39,600	4,041,300
2	MR. PRANAV J. CH	Chief Executive	2,001,300	0	0	39,600	2,040,900
3	MR. PANKAJ J. GA	Whole Time Dire	1,433,466	0	0	0	1,433,466
4	MR. DILIP GHOSH	Whole Time Dire	2,097,754	0	0	0	2,097,754
	Total		9,534,220	0	0	79,200	9,613,420

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MR. DEVKINANDA	Chief Financial C	4,684,620	0	0	39,600	4,724,220
2	MS. AMI N. SHAH	Company Secre	1,324,995	0	0	0	1,324,995
	Total		6,009,615	0	0	39,600	6,049,215

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SHREYAS KANTIL	Independent Dir	0	0	0	70,000	70,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	GOPAL MADHAVB	Independent Dir	0	0	0	70,000	70,000
3	SHRIRANG VISHW	Independent Dir	0	0	0	70,000	70,000
4	ANU SANJIV AURC	Independent Dir	0	0	0	70,000	70,000
5	RABI NARAYAN SA	Independent Dir	0	0	0	50,000	50,000
	Total		0	0	0	330,000	330,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MANISH GHIA & ASSOCIATES

Whether associate or fellow

Associate  Fellow

Certificate of practice number

3531

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated  (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

JAYESH PANNALAL CHOKSI  
Digitally signed by JAYESH PANNALAL CHOKSI  
Date: 2022.10.29 13:16:44 +05'30'

DIN of the director

00001729

**To be digitally signed by**

AMI SHAH  
Digitally signed by AMI SHAH  
Date: 2022.10.29 13:32:26 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

39579

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

Committee Meeting details.pdf Transfer Register.pdf MGT-8_Gufic_31032022_Signed.pdf
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<b>Remove attachment</b>
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Modify
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Check Form
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Prescrutiny
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Submit
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**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**