# Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of	Listed Entity: Gufic Biosciences Limited											
2.	Scrip Cod	rip Code/Name of Scrip/Class of Security: 509079											
3.	Share Ho	olding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)											
	a.	If under 31(1)(b) then indicate the report for Quarter ending											
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment											
4.	Declarati	on: The Listed entity is required to submit the following declaration to the extent of submission of information:-											

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

### **Gufic Biosciences Limited**

Table I - Summary Statement holding of specified securities

							Sharehold					Shares ing , as a				Number of Sha		
							or total	Number of		hts held in	each class	Underlyin % assuming				n pledged or otherwise		
				No. of	No. of		no. of	or securitie	of securities			Outstandi full		shares		encumbered		Number
			No. of	Partly	shares		shares					ng	conversio					of equity
			fully paid	paid-up	underlyin		(calculate	No of Voti	ng Rights			convertibl	n of		As a % of		As a % of	shares
		Nos. of	up equity	equity	g	Total nos.	d as per		18 11181110		Total as a	e	convertibl		total		total	held in
		sharehold	shares	shares	Depositor	shares	SCRR,	Class eg:			% of	securities	e		Shares		Shares	demateria
Category	Category of shareholder	ers	held	held	y Receipts	held	1957)	х	Class eg: y	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	lised form
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	(IX)		(X)	(XI)=	(X	(II)	(X	III)	(XIV)
(A)	Promoter & Promoter Group	5	51176372	0	0	51176372	65.754	51176372	0	51176372	65.754	0	65.754	0	0	0	0	51176372
(B)	Public	16549	26653628	0	0	26653628	34.246	26653628	0	26653628	34.246	0	34.246	0	0	NA	NA	26538632
(C)	Non Promoter - Non Public				0				0			0			0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	16554	77830000	0	0	77830000	100	77830000	0	77830000	100	0	100	0	0	0	0	77715004

### **Gufic Biosciences Limited**

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

						No. of			Number of Voting Rights held in each class			Shares Underlyin g Outstandi	assuming	Number of Locked in		Number of Shares pledged or otherwise encumbered		Number	
			Nos. of	up equity	1 ' '	shares underlyin g	Total nos.	as per SCRR, 1957 As a	No of Voting Rights			Total as a	ng convertibl e	conversio n of convertibl		As a % of total		As a % of total	of equity shares held in
	Cohaman C Name of the chambaldon	244	sharehold	ı	shares	Depositor		% of	Gl v	 			securities	1	N - (-)	Shares	N - (-)	Shares	demateria
-	Category & Name of the shareholders	PAN (II)	ers (III)	held (IV)	held (V)	y Receipts (VI)	(VII) =	(VIII) As a	Class eg: X	Class eg: y		(A+B+C)	(Including	securities (XI)=	<del>- ` '</del>	held(b)	No. (a)	held(b)	lised form (XIV)
1	(I)	(11)	(111)	(10)	(V)	(VI)	(VII) -	(VIII) AS a		(i) 	ν)		(^)	(//)-	(,	\(\)	,	\III)	(AIV)
(a)	Individuals / Hindu Undivided Family		,	25278885		<u> </u>	25278885	32,4796	25278885		25278885	32.4796	0	32.4796				) 0	25278885
(a)	Jayesh Pannalal Choksi	AACPC0001D	1	18010259			18010259	23.1405			18010259		0	23.1405		0			18010259
	Pranav Jayesh Choksi	ACXPC9050F	1	7268626	1 0	0	7268626		7268626		7268626		0	9.3391		0		) 0	7268626
(b)	Central Government / State Government(s)	7.67.11 65 65 61	0	0	0		0	0	0	0	0	0	0	0	d	0			0
(c)	Financial Institutions / Banks		0	0		0	0	0	0	0	0	0	0	0		0		0	0
(d)	Any Other (Specify)		3	25897487	0	0	25897487	33.2744	25897487	0	25897487	33.2744	0	33.2744	C	0		) 0	25897487
	Bodies Corporate		3	25897487	0	0	25897487	33.2744	25897487	0	25897487	33.2744	0	33.2744	0	0		0	25897487
	Zircon Finance & Leasing Private Limited	AAACZ1682L	1	20523330	0	0	20523330	26.3694	20523330	0	20523330	26.3694	0	26.3694		0	(	0	20523330
	Gufic Limited		1	5330957	0	0	5330957	6.8495	5330957	0	5330957	6.8495	0	6.8495	C	0	(	0	5330957
	Gufic Private Limited	AABCG1082E	1	43200	0	0	43200	0.0555	43200	0	43200	0.0555	0	0.0555	C	0	(	0	43200
	Sub Total (A)(1)		5	51176372	. 0	0	51176372	65.754	51176372	0	51176372	65.754	0	65.754	C	0		0	51176372
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	C	0		0 0	, 0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	<u> </u>	0		0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	C	0	1	) 0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	<u> </u>	0	1	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		5	51176372	c	0	51176372	65.754	51176372	0	51176372	65.754	0	65.754		0		0	51176372

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

#### Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :08/07/2020

NSDL: 30/06/2020

CDSL:30/06/2020

## **Gufic Biosciences Limited**

Table III - Statement showing shareholding pattern of the Public shareholder

	Statement showing shareholding pattern																		
						No. of			Number of	f Voting Rigi es	hts held in e	each class	Underlyin	assuming	Number o	f Locked in	Number o	otherwise	Number
				No. of	Partly	shares		as per					1	conversio					of equity
				fully paid	paid-up	underlyin		SCRR,	No of Voti	ng Rights			convertibl	l		As a % of		As a % of	shares
			Nos. of	up equity	equity	g	Total nos.	1957 As a				Total as a	1 -	convertibl		total		total	held in
			sharehold	1	shares	1 -	shares	% of				% of	securities	l		Shares		Shares	demateria
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts	<b>+</b>	· ·	Class eg: X	Class eg: y		(A+B+C)	(including		<u> </u>	held(b)	No. (a)	held(b)	lised form
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		<u>(I</u>	X)		(X)	(XI)=	(2	XII)	(X	(111)	(XIV)
1	Institutions																		1
(a)	Mutual Fund		2	6152567	0	0	6152567		6152567	0	6152567	7.9051	+	7.9051	0	0.0000	NA	NA	6152567
	Sbi Healthcare Opportunities Fund	AABTS6407Q	1	3750000	0		3750000		3750000	0	3750000	4.8182	1 -	4.8182	0	0.0000	NA	NA	3750000
	Icici Prudential Pharma Healthcare And Diaganosti	AAAAI0038F	1	2402567	0	1	2402567	3.0869	2402567	0	2402567	3.0869		3.0869	0	0.0000	NA	NA	2402567
(b)	Venture Capital Funds		0	0	0	ļ*	0	0.0000	0	0	0	0.0000	+	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0		0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	-	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		2	462586	0	0			462586	0	462586	0.5944		0.5944	0	0.0000	NA	NA	462586
(f)	Financial Institutions / Banks		1	58901	0	0	58901	0.0757	58901	0		0.0757		0.0757	0	0.0000	NA	NA	58901
(g)	Insurance Companies		0	0	0	<u> </u>	0	0.0000	0	0	0	0.0000	1	0.0000	0	0.0000	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0		0	0.0000	0	0	0	0.0000	1	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	-	0	0.0000	0	0	0	0.0000	+	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		5	6674054	0	0	6674054	8.5752	6674054	0	6674054	8.5752	0	8.5752	0	0.0000	NA	NA	6674054
	Central Government/ State Government(s)/																		
2	President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0										0		NA	NA	
	i. Individual shareholders holding nominal share																		
	capital up to Rs. 2 lakhs.		15647	9379504	0	0	9379504	12.0513	9379504	0	9379504	12.0513	0	12.0513	0	0.0000	NA	NA	9264508
	ii. Individual shareholders holding nominal																		
	share capital in excess of Rs. 2 lakhs.		8	7041424	0	0	7041424	9.0472	7041424	0	7041424	9.0472	0	9.0472	0	0.0000	NA	NA	7041424
	Jagdish N Master	AARPM5483A		2260000	0	0	2260000		2260000	0	2260000	2.9038	0	2.9038	0	0.0000	NA	NA	2260000
	Vipula Jayesh Choksi	ACXPC2426M		2885273	0	0	2885273	3.7071	2885273	0	2885273	3.7071	0	3.7071	0	0.0000	NA	NA	2885273
(b)	NBFCs registered with RBI		0	0	0		0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Overseas Depositories(holding DRs) (balancing																		
(d)	figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)		889	3558646	0				3558646	0	3558646	4.5723		4.5723	0	0.0000	NA	NA	3558646
	IEPF		2	195399	0	0	195399	0.2511	195399	0	195399	0.2511	0	0.2511	0	0.0000	NA	NA	195399
	Foreign Nationals		1	11000	0	0	11000	0.0141	11000	0	11000	0.0141	0	0.0141	0	0.0000	NA	NA	11000
	Hindu Undivided Family		382	488301	0	0	488301	0.6274	488301	0	488301	0.6274	0	0.6274	0	0.0000	NA	NA	488301
	Non Resident Indians (Non Repat)		75	175600	0	0	175600	0.2256	175600	0	175600	0.2256	0	0.2256	0	0.0000	NA	NA	175600
	Non Resident Indians (Repat)		163	293510	0	0	293510	0.3771	293510	0	293510	0.3771	0	0.3771	0	0.0000	NA	NA	293510

Body Corp-Ltd Liability Partnership	1	200	0	0	200	0.0003	200	0	200	0.0003	0	0.0003	0	0.0000	NA	NA	200
Clearing Member	96	148051	0	0	148051	0.1902	148051	0	148051	0.1902	0	0.1902	0	0.0000	NA	NA	148051
Market Maker	1	100	0	0	100	0.0001	100	0	100	0.0001	0	0.0001	0	0.0000	NA	NA	100
Bodies Corporate	168	2246485	0	0	2246485	2.8864	2246485	0	2246485	2.8864	0	2.8864	0	0.0000	NA	NA	2246485
Sub Total (B)(3)	16544	19979574	0	0	19979574	25.6708	19979574	0	19979574	25.6708	0	25.6708	0	0.0000	NA	NA	19864578
Total Public Shareholding (B)=																	
(B)(1)+(B)(2)+(B)(3)	16549	26653628	0	0	26653628	34.2460	26653628	0	26653628	34.2460	0	34.2460	0	0.0000	NA	NA	26538632

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

#### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Gufic	Gufic Biosciences Limited																						
Table I	V - Statement showing shareholding pattern of the No	n Promoter- No	n Public sl	hareholde	er																		
													Shares	ing , as a									
								Sharehold Underlyin %				l l		1								Number of	
									Number of Voting Rights held in each class		ber of Voting Rights held in each class		umber of Voting Rights held in each class		er of Voting Rights held in each class		assuming	Number of Locked in		pledged or			
						No. of		calculated	of securities Outstandi   of securities Outstandi   of securities   of securit														
				No. of	Partly	shares	1	as per	l lng			ng	conversio					of equity					
				fully paid	paid-up	underlyin		SCRR,	No of Voting Rights		convertibl	n of		As a % of		As a % of	shares						
			Nos. of	up equity	equity	g	Total nos.		140 01 4001			Total as a	e	convertibl		total		total	held in				
			sharehold	shares	shares	Depositor	shares	% of				% of	securities	e		Shares		Shares	demateria				
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts	held	(A+B+C2)	Class eg: X	Class eg: y	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	lised form				
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)		(X)	(XI)=	()	(II)	(X	(III)	(XIV)				
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
	Employee Benefit Trust (under SEBI (Share based Employee																						
2	Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
	Total Non-Promoter- Non Public Shareholding (C)=																						
1	(C)(1)+(C)(2)		0	lo	lo	lo	lo	0.0000	lo	lo	lo	0.0000	lo	0.0000	lo	0.0000	NA	NA	0				

#### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.