# Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of	Listed Entity: Gufic Biosciences Limited										
2.	Scrip Coc	rip Code/Name of Scrip/Class of Security: 509079										
3.	Share Ho	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)										
	a.	a. If under 31(1)(b) then indicate the report for Quarter ending 29-09-2018										
	b.	b. If under 31(1)(c) then indicate date of allotment/extinguishment										
4.	Declarati	on: The Listed entity is required to submit the following declaration to the extent of submission of information:-										

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

# **Gufic Biosciences Limited**

Table I - Summary Statement holding of specified securities

							Sharehold						ing , as a			Number o		
							ing as a %	Number	f Voting Die	hts hold in	anch class	Underlyin %		Number of	Flacked in			
							of total	Number of Voting Rights held in each class of securities				g	_	shares	LOCKEU III	pledged or otherwise		
				No. of	No. of		no. of	O. Sceamen				Outstandi		Silui es			Ť –	of equity
			No. of fully paid	Partly naid-un	shares underlyin		shares (calculate				ng convertibl	conversio n of		As a % of		As a % of	shares held in	
		Nos. of	up equity		g	Total nos.	d as per	No of Voting Rights		Total as a		convertibl		total			demateri	
		sharehold		shares	Depositor	1		Class eg:				securities			Shares		Shares	alised
Category	Category of shareholder	ers	held	held	y Receipts	held	1957)	x	Class eg: y	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)		(X)	(XI)=	(x	(II)	(X	KIII)	(XIV)
(A)	Promoter & Promoter Group	4	50840372	0	0	50840372	65.7277	50840372	0	50840372	65.7277	0	65.7277	0	0	0	<i>i</i> 0	50840372
(B)	Public	17113	26509628	0	0	26509628	34.2723	26509628	0	26509628	34.2723	0	34.2723	0	0	NA	NA	26361582
(C)	Non Promoter - Non Public				0				0			0			0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	17117	77350000	0	0	77350000	100	77350000	0	77350000	100	0	100	0	0	0	<i>i</i> 0	77201954

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## **Gufic Biosciences Limited**

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

						No. of		IIII 2/0	-6	Number of Voting Rights held in each class of securities			Shares Underlyin g Outstandi	assuming	Number of	umber of Locked in		of Shares or otherwise red	of equity
				No. of	Partly	shares		as per					ng	conversio					shares
				fully paid	paid-up	underlyin		SCRR,	No of Voti				convertibl	n of		As a % of		As a % of	held in
			Nos. of		equity	g	Total nos.	1957 As a					е	convertibl		total		total	demateri
			sharehold	shares	shares	Depositor	shares	% of	Class eg:	Class eg:		% of	securities	е		Shares		Shares	alised
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts	held	(A+B+C2)	Х	у		(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		. (	IX)		(X)	(XI)=	(	XII)	(	XIII)	(XIV)
1	Indian																		
(a)	Individuals / Hindu Undivided Family		2	24986085			24986085		24986085		24986085		0	32.3026		0		0 0	24986085
	Jayesh Pannalal Choksi	AACPC0001D	1	18010259	0	0	18010259		18010259		18010259	23.2841	0	23.2841		0		0 0	18010259
	Pranav Jayesh Choksi	ACXPC9050F	1	6975826	0	0	6975826	9.0185	6975826	0	6975826	9.0185	0	9.0185		0		0 0	6975826
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	(	0		0 0	, 0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0		0	0	0	0	(	0		0 0	0
(d)	Any Other (Specify)		2	25854287	0	0	25854287	33.4251	25854287	' C	25854287	33.4251	0	33.4251	(	0		0 0	25854287
	Bodies Corporate		2	25854287	0	0	25854287	33.4251	25854287	' C	25854287	33.4251	0	33.4251	(	0		0 0	25854287
	Zircon Finance & Leasing Private Limited	AAACZ1682L	1	20523330	0	0	20523330	26.5331	20523330	0	20523330	26.5331	0	26.5331	(	0		0 0	20523330
	Gufic Limited		1	5330957	0	0	5330957	6.892	5330957	C	5330957	6.892	0	6.892	(	0		0 0	5330957
	Sub Total (A)(1)		4	50840372	0	0	50840372	65.7277	50840372	2 0	50840372	65.7277	0	65.7277	(	0		0 0	50840372
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	) (	0	0	0	0	(	0		0 0	0
(b)	Government		0	0	0	0	0	0	0		0	0	0	0		0		0 0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	(	0		0 0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	(	0		0 0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	(	0		0 0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	(	0		0 0	0
	Total Shareholding Of Promoter And Promoter Group (A)=																		
	(A)(1)+(A)(2)		4	50840372	0	0	50840372	65.7277	50840372	<u>ا</u> ا	50840372	65.7277	0	65.7277	(	0 0		0 0	50840372

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

### Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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## **Gufic Biosciences Limited**

Table III - Statement showing shareholding pattern of the Public shareholder

	otation on the state of the sta																		
				_		No. of		Sharehold ing % calculated	Number of of securitie		hts held in e	ach class	Shares Underlyin g Outstandi	assuming Number		of Locked in	Number of Shares pledged or otherwise encumbered		Number
				No. of	Partly	shares		as per					ng	conversio					of equity
				fully paid	paid-up	underlyin		SCRR,	No of Votir	ng Rights			convertibl	1		As a % of		As a % of	1
			Nos. of	up equity	equity	g	Total nos.	1957 As a				Total as a	e	convertibl		total		total	held in
			sharehold	shares	shares	Depositor	shares	% of			l	% of	securities	1 -		Shares		Shares	demateria
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts	-	-	Class eg: X	Class eg: y		(A+B+C)		securities		held(b)	No. (a)	held(b)	lised form
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(E	x)		(x)	(XI)=		(XII)	(	XIII)	(XIV)
1	Institutions																		
(a)	Mutual Fund		2	000000	0			8.5283	6596606	0		8.5283	0		_		NA	NA	6596606
	Sbi Healthcare Opportunities Fund	AABTS6407Q	1	3027 103	0		002: :00	7.5339	5827489	0		7.5339	0	1.0000		_	NA	NA	5827489
(b)	Venture Capital Funds		0		C			0	0	Ū	_	0	0			-	NA	NA	
(c)	Alternate Investment Funds		2						926423				0				NA	NA	926423
(-1)		AATTS7547M	1 0	000	0			-	811856 <b>0</b>	0		1.0496	0				NA NA	NA	811856
(d)	Foreign Venture Capital Investors		3		0					0		0	0		1		NA	NA	40000
(e)	Foreign Portfolio Investor		2	132200		<del></del>	132230	0.2485 0.0853	192238	0	101100	0.2485 0.0853	0	0.2403			NA NA	NA NA	192238
(f)	Financial Institutions / Banks		0						65975 0	0		0.0853	0	-	-		NA NA	NA NA	65975
(g) (h)	Insurance Companies Provident Funds/ Pension Funds		0		0	+			•								) NA	NA NA	
(n) (:)	Any Other (Specify)		0					0	0	-		0	0				NA NA	NA NA	+ -
(1)	Sub Total (B)(1)		9			· ·			7781242				0				NA NA	NA NA	7781242
	Central Government/ State Government(s)/		-	7701242	-	0	7701242	10.0336	7701242	U	7701242	10.0336	-	10.0336	•		INA	INA	7701242
2	President of India																		
-	Sub Total (B)(2)		0	0			0	0	0	0	0	0	0	0		0 0	NA NA	NA	1
3	Non-Institutions								·			- ·					, , , ,	144	+
(a)	Individuals			0												0	NA	NA	+
(4)	i. Individual shareholders holding nominal share																1.0.1	1.0.1	+
	capital up to Rs. 2 lakhs.		16094	9300444	ا ا	0	9300444	12.0238	9300444	o	9300444	12.0238	۰ ا	12.0238	3	0 0	NA	NA	9152398
	ii. Individual shareholders holding nominal share																		
	capital in excess of Rs. 2 lakhs.		6	6158655	ا ا	0	6158655	7.9621	6158655	0	6158655	7.9621	0	7.9621		0 0	NA	NA	6158655
	Jagdish N Master	AARPM5483A		2000000	0	0	2000000	2.5856	2000000	0	2000000	2.5856	0	2.5856	,		NA NA	NA	2000000
	Vipula Jayesh Choksi	ACXPC2426M		2885273	0	0	2885273	3.7302	2885273	0	2885273	3.7302	0	3.7302		0 0	NA NA	NA	2885273
(b)	NBFCs registered with RBI		1	6722	C	0	6722	0.0087	6722	0	6722	0.0087	0	0.0087	,	0 0	NA	NA	6722
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0		0 0	NA	NA	(
	Overseas Depositories(holding DRs) (balancing																		
(d)	figure)		0	0	0	0	0	0	0	0	0	0	0	0	)	0 0	NA	NA	(
(e)	Any Other (Specify)		1003	3262565	0	0	3262565	4.2179	3262565	0	3262565	4.2179	0	4.2179	)	0 0	NA	NA	3262565
	IEPF		1	120245	C	0	120245	0.1555	120245			0.1555	0	0.1555			NA	NA	120245
	Foreign Nationals		1	6996	C		0330	0.009	6996	0		0.009	0	0.005			NA	NA	6996
	Hindu Undivided Family		389		0		100010	0.596	460976	0		0.596	0	0.550			NA	NA	460976
	Non Resident Indians (Non Repat)		74		0		07.002		374552	0				00	-		NA	NA	374552
	Non Resident Indians (Repat)		207	210266	0		210266	0.2718	210266	0	210200	0.2718		0.2710			NA	NA	210266
	Clearing Member		114		0			0.1695	131125	0		0.1695	0	0.2000			NA	NA	13112
	Market Maker		7				0.5.	0.0075	5794	0		0.0075	0	0.007.5			NA NA	NA	5794
	Bodies Corporate		210		0			2.5244		0							NA	NA	195261
	Sub Total (B)(3)		17104	18728386	0	0	18728386	24.2125	18728386	0	18728386	24.2125	0	24.2125	<u> </u>	0 0	NA	NA	18580340
	Total Public Shareholding (B)=																		
	(B)(1)+(B)(2)+(B)(3)		17113	26509628	0	0	26509628	34.2723	26509628	0	26509628	34.2723	0	34.2723	3	0 0	NA	NA	26361582

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Gufic B	Gufic Biosciences Limited																		
Table IV -	- Statement showing shareholding pattern of the No	n Promoter- N	on Public s	harehold	er														
						No. of			Number of Voting Rights held in each cla of securities			Shares Underlyin g Outstandi	assuming	Number of	of Locked in	Number of	or otherwise	Number	
				No. of	Partly	shares		as per				ng	conversio					shares	
				fully paid	paid-up	underlyin		SCRR,				convertib	n of		As a % of		As a % of	held in	
			Nos. of	up equity	equity	1		1957 As a		Ī		Total as a	_	convertibl		total		total	demateri
			sharehold	shares	shares	Depositor	shares	% of	Class eg:	Class eg:		% of	securities	е		Shares		Shares	alised
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts	held	(A+B+C2)	Х	у	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
	(1)	(II)	(111)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1)	X)		(X)	(XI)=	(	XII)	(	XIII)	(XIV)
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	(	0	NA	NA	0
	Employee Benefit Trust (under SEBI (Share based Employee																		
2	Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0		0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)=																		
	(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	(	0	NA	NA	0

- (1) PAN would not be displayed on website of Stock Exchange(s).
  (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
  (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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