

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Gufic Biosciences Limited
2.	Scrip Code/Name of Scrip/Class of Security: 509079
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) -For the quarter ended September 30, 2020
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>
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Gufic Biosciences Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	Shares, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	4	51176372	0	0	51176372	65.754	51176372	0	51176372	65.754	0	65.754	0	0	0	0	51176372
(B)	Public	20276	26653628	0	0	26653628	34.246	26653628	0	26653628	34.246	0	34.246	0	0	NA	NA	26538632
(C)	Non Promoter - Non Public				0				0			0		0	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	20280	77830000	0	0	77830000	100	77830000	0	77830000	100	0	100	0	0	0	0	77715004

GENERATED ON :02/10/2020

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Gufic Biosciences Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		2	25278885	0	0	25278885	32.4796	25278885	0	25278885	32.4796	0	32.4796	0	0	0	0	25278885
	Jayesh Pannalal Choksi	AACPC0001D	1	18010259	0	0	18010259	23.1405	18010259	0	18010259	23.1405	0	23.1405	0	0	0	0	18010259
	Pranav Jayesh Choksi	ACXPC9050F	1	7268626	0	0	7268626	9.3391	7268626	0	7268626	9.3391	0	9.3391	0	0	0	0	7268626
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		2	25897487	0	0	25897487	33.2744	25897487	0	25897487	33.2744	0	33.2744	0	0	0	0	25897487
	Bodies Corporate		2	25897487	0	0	25897487	33.2744	25897487	0	25897487	33.2744	0	33.2744	0	0	0	0	25897487
	Zircon Finance & Leasing Private Limited	AAACZ1682L	1	20523330	0	0	20523330	26.3694	20523330	0	20523330	26.3694	0	26.3694	0	0	0	0	20523330
	Gufic Private Limited	AABCG1082E	1	5374157	0	0	5374157	6.9049	5374157	0	5374157	6.9049	0	6.9049	0	0	0	0	5374157
	Sub Total (A)(1)		4	51176372	0	0	51176372	65.754	51176372	0	51176372	65.754	0	65.754	0	0	0	0	51176372
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		4	51176372	0	0	51176372	65.754	51176372	0	51176372	65.754	0	65.754	0	0	0	0	51176372

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :02/10/2020

NSDL : 30/09/2020

CDSL :30/09/2020

Gulf Biosciences Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)	(X)	(XI)=	(XII)	(XIII)	(XIV)						
1	Institutions																		
(a)	Mutual Fund		2	6789858	0	0	6789858	8.724	6789858	0	6789858	8.724	0	0	0	NA	NA	6789858	
	Sbi Healthcare Opportunities Fund	AABTS6407Q	1	3750000	0	0	3750000	4.8182	3750000	0	3750000	4.8182	0	0	0	NA	NA	3750000	
	Icici Prudential Pharma Healthcare And Diaganost	AAAAIQ038F	1	3039858	0	0	3039858	3.9058	3039858	0	3039858	3.9058	0	0	0	NA	NA	3039858	
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(e)	Foreign Portfolio Investor		1	45000	0	0	45000	0.0578	45000	0	45000	0.0578	0	0	0	NA	NA	45000	
(f)	Financial Institutions / Banks		1	2659	0	0	2659	0.0034	2659	0	2659	0.0034	0	0	0	NA	NA	2659	
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
	Sub Total (B)(1)		4	6837517	0	0	6837517	8.7852	6837517	0	6837517	8.7852	0	0	0	NA	NA	6837517	
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
3	Non-Institutions																		
(a)	Individuals			0	0									0		NA	NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		19229	10305499	0	0	10305499	13.241	10305499	0	10305499	13.241	0	0	0	NA	NA	10190503	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		6	6305933	0	0	6305933	8.1022	6305933	0	6305933	8.1022	0	0	0	NA	NA	6305933	
	Jagdish N Master	AARPM5483A		2240000	0	0	2240000	2.8781	2240000	0	2240000	2.8781	0	0	0	NA	NA	2240000	
	Vipula Jayesh Choksi	ACXPC2426M		2885273	0	0	2885273	3.7071	2885273	0	2885273	3.7071	0	0	0	NA	NA	2885273	
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
	Trust Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(e)	Any Other (Specify)		1037	3204679	0	0	3204679	4.1175	3204679	0	3204679	4.1175	0	0	0	NA	NA	3204679	
	IEPF		2	195399	0	0	195399	0.2511	195399	0	195399	0.2511	0	0	0	NA	NA	195399	
	Hindu Undivided Family		426	554996	0	0	554996	0.7131	554996	0	554996	0.7131	0	0	0	NA	NA	554996	
	Non Resident Indians (Non Repat)		79	183500	0	0	183500	0.2358	183500	0	183500	0.2358	0	0	0	NA	NA	183500	
	Non Resident Indians (Repat)		185	277073	0	0	277073	0.356	277073	0	277073	0.356	0	0	0	NA	NA	277073	
	Clearing Member		201	655317	0	0	655317	0.842	655317	0	655317	0.842	0	0	0	NA	NA	655317	
	Market Maker		1	100	0	0	100	0.0001	100	0	100	0.0001	0	0	0	NA	NA	100	
	Bodies Corporate		143	1338294	0	0	1338294	1.7195	1338294	0	1338294	1.7195	0	0	0	NA	NA	1338294	
	Sub Total (B)(3)		20272	19816111	0	0	19816111	25.4608	19816111	0	19816111	25.4608	0	0	0	NA	NA	19701115	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		20276	26653628	0	0	26653628	34.246	26653628	0	26653628	34.246	0	0	0	NA	NA	26538632	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Gufic Biosciences Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0